1	BEFORE THE ARIZONA STATE BOARD OF BEHAVIORAL HEALTH EXAMINERS				
2	In the	Matter of:			
3 4	Diana G. Vigil, LPC-0805, Licensed Professional Counselor, In the State of Arizona.		CASE NO. 2023-0003 RELEASE FROM		
5		RESPONDENT	CONSENT AGREEMENT AND ORDER		
6					
7	The Board received a request from Respondent to release them from the terms an				
8	conditions of the Consent Agreement and Order dated March 28th, 2023. After consideration				
9	the Board voted to release Respondent from the terms and conditions of the Conser				
10	Agreement and Order dated March 28 th , 2023.				
11	ORDER GOOD CAUSE APPEARING, IT IS THEREFORE ORDERED THAT: Respondent is hereby released from all terms and conditions of the Consent Agreemer and Order dated March 28 th , 2023.				
12					
13					
14					
15	By:	Mli Zarola_	Jul 25, 2023		
16		TOBI ZAVALA, Executive Director Arizona Board of Behavioral Health Exar	Date niners		
17					
18	ORIGINAL of the foregoing filed with: Jul 25, 2023				
19 20	Arizona Board of Behavioral Health Examiners 1740 West Adams Street, Suite 3600				
21		nix, AZ 85007			
22	to: Like the foregoing sent electronically Jul 25, 2023 to:				
23	Diana G. Vigil				
24	Address of Record Respondent				
25					

2

3

4

5 6

7

8

9

10

11

12 13

14

15

16

17

18 19

20

2122

23

2425

BEFORE THE ARIZONA BOARD

OF BEHAVIORAL HEALTH EXAMINERS

In the Matter of:

Diana G. Vigil, LPC-0805, Licensed Professional Counselor, In the State of Arizona.

RESPONDENT

CASE NO. 2023-0003
CONSENT AGREEMENT

In the interest of a prompt and speedy settlement of the above captioned matter, consistent with the public interest, statutory requirements and responsibilities of the Arizona State Board of Behavioral Health Examiners ("Board"), and pursuant to A.R.S. §§ 32-3281(F) and 41-1092.07(F)(5), Diana G. Vigil ("Respondent") and the Board enter into this Consent Agreement, Findings of Fact, Conclusions of Law and Order ("Consent Agreement") as a final disposition of this matter.

RECITALS

Respondent understands and agrees that:

- Any record prepared in this matter, all investigative materials prepared or received by the Board concerning the allegations, and all related materials and exhibits may be retained in the Board's file pertaining to this matter.
- 2. Respondent has the right to a formal administrative hearing at which Respondent can present evidence and cross examine the State's witnesses. Respondent hereby irrevocably waives their right to such formal hearing concerning these allegations and irrevocably waives their right to any rehearing or judicial review relating to the allegations contained in this Consent Agreement.
- Respondent has the right to consult with an attorney prior to entering into this Consent Agreement.

25 ||

- 4. Respondent acknowledges and agrees that upon signing this Consent Agreement and returning it to the Board's Executive Director, Respondent may not revoke their acceptance of this Consent Agreement or make any modifications to it. Any modification of this original document is ineffective and void unless mutually approved by the parties in writing.
- 5. The findings contained in the Findings of Fact portion of this Consent Agreement are conclusive evidence of the facts stated herein between only Respondent and the Board for the final disposition of this matter and may be used for purposes of determining sanctions in any future disciplinary matter.
- 6. This Consent Agreement is subject to the Board's approval, and will be effective only when the Board accepts it. In the event the Board in its discretion does not approve this Consent Agreement, this Consent Agreement is withdrawn and shall be of no evidentiary value, nor shall it be relied upon or introduced in any disciplinary action by any party hereto, except that Respondent agrees that should the Board reject this Consent Agreement and this case proceeds to hearing, Respondent shall assert no claim that the Board was prejudiced by its review and discussion of this document or of any records relating thereto.
- 7. Respondent acknowledges and agrees that the acceptance of this Consent Agreement is solely to settle this Board matter and does not preclude the Board from instituting other proceedings as may be appropriate now or in the future. Furthermore, and notwithstanding any language in this Consent Agreement, this Consent Agreement does not preclude in any way any other state agency or officer or political subdivision of this state from instituting proceedings, investigating claims, or taking legal action as may be appropriate now or in the future relating to this matter or other matters concerning Respondent, including but not limited to violations of Arizona's Consumer Fraud Act. Respondent acknowledges that, other than with respect to the Board, this Consent Agreement makes no representations, implied or

12

13 14

15

16 17

18

19 20

21 22

23

24

25

otherwise, about the views or intended actions of any other state agency or officer or political subdivision of the state relating to this matter or other matters concerning Respondent.

- 8. Respondent understands that once the Board approves and signs this Consent Agreement, it is a public record that may be publicly disseminated as a formal action of the Board, and that it shall be reported as required by law to the National Practitioner Data Bank.
- 9. Respondent further understands that any violation of this Consent Agreement constitutes unprofessional conduct pursuant to A.R.S. § 32-3251(16)(n) and may result in disciplinary action pursuant to A.R.S. § 32-3281.
- 10. The Board therefore retains jurisdiction over Respondent and may initiate disciplinary action against Respondent if it determines that they have failed to comply with the terms of this Consent Agreement or of the practice act.

The Board issues the following Findings of Fact, Conclusions of Law and Order:

FINDINGS OF FACT

- 1. Respondent is the holder of License No. LPC-0805 for the practice of Counseling n Arizona.
- 2. From 03/29/22 – 05/16/22, Respondent provided behavioral services to Son and Daughter to help cope with Mother and Father's divorce and Mother and Father were still living together during this time.
- 3. At the onset of services, Respondent obtained signatures from Father and Mother to treat Son and Daughter, but the consents failed to include dates after their signatures.
- 4. The informed consent documents failed to include Respondent's dated signature and a section for Respondent's dated signature.
- 5. During an investigative interview with Board staff, Respondent represented she was not aware she needed to sign and date the informed consent.
 - 6. At 12:11 a.m., on 03/29/22, Mother emailed Respondent:

25

- a. Mother attached a video to the email which she had made surreptitiously.
- b. The video depicted Father preparing Son and Daughter to go to the grocery store and at times Son and Daughter were shown crying.
- c. Mother alleged in the email that a few days after this video was made, Son sustained second degree burns on one of his hands due to Father's neglect.
- d. Mother alleged in the email Son sustained a gash under his big toe which removed a flap of skin two weeks before the video was made while Son was in Father's care.
- e. Mother alleged in the email she took Son to urgent care for the burns to dress his wounds.
- 7. Respondent met with Mother, Father, Son and Daughter for an intake session on 03/29/22, several hours after Mother had sent the email to Respondent.
- 8. Although Mother raised a number of issues related to Father during that meeting, she never raised the issues alleged in the email, and Respondent did not ask about them directly.
- 9. DCS records indicated it received a complaint related to Son on 03/31/22 and conducted an investigation which failed to substantiate any allegation of neglect or abuse.
- 10. Respondent represented the following during an investigative interview with Board staff:
 - a. Neither Father nor Mother brought forward safety concerns during the 03/29/22 session.
 - b. Respondent did not address the allegations set forth in Mother's email because such allegations are typical in high conflict cases and neither parent reported any concerns with safety during the intake.

. . . .

- - -

- c. Respondent acknowledged she did not specifically ask Son if his parents were hurting him in any way, because, therapeutically, from a forensic perspective, it would have been contraindicated, but Respondent asked openended questions related to whether Son and Daughter felt safe.
- d. Respondent did not document why she did not address Mother's email in a progress note because she did not have a reasonable suspicion that abuse or neglect occurred.
- 11. Respondent failed to document her follow up regarding these allegations.
- 12. Based on allegations of neglect set forth in Mother's email, the Board believes Respondent should have reported the alleged burns to DCS or the police as a mandated reporter.
 - 13. The Board believes Respondent failed to fulfill her duty as a mandated reporter.
- 14. On 05/20/22, Mother withdrew her consent for Respondent to treat Son and Daughter.
- 15. On 05/23/22, Father's lawyer sent Respondent an email in which he stated he intended to file a motion in the divorce action seeking to "preserve the children's continuity of treatment with you."
- 16. Respondent responded in an email on 05/23/22 that she would continue treating the Children if there was a court order.
- 17. Respondent did not take additional steps to provide continuity of care for Son and Daughter.
- 18. Mother's lawyer filed the Complaint which precipitated the Board's investigation in connection with this matter on 06/29/22.
- 19. The Complaint alleged Respondent was biased against Mother and had a conflict of interest in providing services to Son and Daughter.

18

22

24

- 20. The Board found those allegations to be without merit.
- 21. On 07/01/22, the Court gave Father sole authority to make mental health care treatment decisions for Son and Daughter, at which point Respondent intended to resume providing care.
 - 22. On 07/07/22, the Board mailed the Complaint to Respondent.
- 23. On 07/12/22, Respondent notified the parties that she could not continue seeing Son and Daughter because the Complaint had been filed.
 - 24. Respondent represented the following during an investigative interview:
 - a. Respondent sensed the parents did not want any referrals from her.
 - Mother withdrew consent and the issue of her ongoing treatment was presented to the court.
 - c. Respondent was permitted to continue treating Son and Daughter pursuant to a court order, but later declined to do so once she learned about the Complaint.
 - d. Respondent's understanding of ensuring continuity of care applies when Respondent was the one stopping services, then she would have offered referrals.

CONCLUSIONS OF LAW

- The Board has jurisdiction over Respondent pursuant to A.R.S. § 32-3251 et seq.
 and the rules promulgated by the Board relating to Respondent's professional practice as a
 licensed behavioral health professional.
- 2. The conduct and circumstances described in the Findings of Fact constitute a violation of A.R.S. § 32-3251(16)(ii), violating any federal or state law, rule or regulation applicable to the practice of behavioral health, as it relates to:

A.R.S. § 13-3620. Duty to Report Abuse

15 16

14

17

19

18

21

20

22 23

24

25

3. The conduct and circumstances described in the Findings of Fact constitute a violation of A.R.S. § 32-3251(16)(s), terminating behavioral health services to a client without making an appropriate referral for continuation of care for the client if continuing behavioral health services are indicated.

4. The conduct and circumstances described in the Findings of Fact constitute a violation of A.R.S. § 32-3251(16)(p), failing to conform to minimum practice standards as developed by the board, as it relates to:

A.A.C. R4-6-1101. Consent for Treatment

ORDER

Based upon the foregoing Findings of Fact and Conclusions of Law, the parties agree to the provisions and penalties imposed as follows:

- 1. Respondent's license, LPC-0805, will be placed on probation for 12 months, effective from the date of entry as signed below.
- 2. Respondent shall not practice under their license, LPC-0805, unless they are fully compliant with all terms and conditions in this Consent Agreement. If, for any reason, Respondent is unable to comply with the terms and conditions of this Consent Agreement, they shall immediately notify the Board in writing and shall not practice under their license until they submit a written request to the Board to re-commence compliance with this Consent Agreement. All such requests shall be pre-approved by the Board Chair or designee.
- 3. In the event that Respondent is unable to comply with the terms and conditions of this Consent Agreement, all remaining time frames shall be tolled and remain tolled until such time as they are granted approval to re-commence compliance with the Consent Agreement.

-7-

Continuing Education

- 4. In addition to the continuing education requirements of A.R.S. § 32-3273, within 12 months of the effective date of this Consent Agreement, Respondent shall complete 3 clock hours of continuing education addressing behavioral health ethics. All required continuing education shall be pre-approved by the Board Chair or designee. Upon completion, Respondent shall submit a certificate of completion of the required continuing education.
- 5. In addition to the continuing education requirements of A.R.S. § 32-3273, within 12 months of the effective date of this Consent Agreement, Respondent shall complete 3 clock hours of continuing education in Arizona documentation standards. All required continuing education shall be pre-approved by the Board Chair or designee. Upon completion, Respondent shall submit a certificate of completion of the required continuing education.
- 6. In addition to the continuing education requirements of A.R.S. § 32-3273, within 12 months of the effective date of this Consent Agreement, Respondent shall complete 6 clock hours of the NASW Staying Out of Trouble continuing education or its pre-approved equivalent. All required continuing education shall be pre-approved by the Board Chair or designee. Upon completion, Respondent shall submit a certificate of completion of the required continuing education.
- Respondent may submit continuing education completed since the complaint was filed for consideration of approval by the Board Chair or designee.

Early Release

8. After completion of the continuing education requirements set forth in this Consent Agreement, Respondent may request early release from the Consent Agreement if all other terms of the Consent Agreement have been met.

GENERAL PROVISIONS

Provision of Clinical Supervision

 Respondent shall not provide clinical supervision while subject to this Consent Agreement.

Civil Penalty

- 10. Subject to the provisions set forth in paragraph 11, the Board imposes a civil penalty against the Respondent in the amount of \$1,000.00.
- 11. Respondent's payment of the civil penalty shall be stayed so long as Respondent remains compliant with the terms of this Consent Agreement. If Board staff determines that Respondent is noncompliant with the terms of this Consent Agreement in any respect, with the exception of the tolling provision under paragraph 3, the stay of the civil penalty payment shall be automatically lifted and payment of the civil penalty shall be made by certified check or money order payable to the Board within 30 days after being notified in writing of the lifting of the stay.
- 12. Within 10 days of being notified of the lifting of the stay, Respondent may request that the matter be reviewed by the Board for the limited purpose of determining whether the automatic lifting of the stay was supported by clear and convincing evidence. If the Board receives the written request within 10 days or less of the next regularly scheduled Board meeting, the request will not be heard at that meeting, but will be heard at the next regularly scheduled Board meeting. The Board's decision on this matter shall not be subject to further review.
- 13. The Board reserves the right to take further disciplinary action against Respondent for noncompliance with this Consent Agreement after affording Respondent notice and an opportunity to be heard. If a complaint is filed against Respondent for failure to comply

with this Consent Agreement, the Board shall have continuing jurisdiction until the matter is final and the period of probation shall be extended until the matter is final.

- 14. If Respondent currently sees clients in their own private practice, and obtains any other type of behavioral health position, either as an employee or independent contractor, where they provide behavioral health services to clients of another individual or agency, they shall comply with requirements set forth in paragraphs 15 through 17 below.
- 15. Within 10 days of the effective date of this Order, if Respondent is working in a position where Respondent provides any type of behavioral health related services or works in a setting where any type of behavioral health, health care, or social services are provided, Respondent shall provide the Board Chair or designee with a signed statement from Respondent's employer(s) confirming Respondent provided the employer(s) with a copy of this Consent Agreement. If Respondent does not provide the employer's statement to the Board within 10 days of the effective date, the Board will provide Respondent's employer(s) with a copy of the Consent Agreement.
- 16. If Respondent is not employed as of the effective date of this Order, within 10 days of accepting employment in a position where Respondent provides any type of behavioral health related services or in a setting where any type of behavioral health, health care, or social services are provided, Respondent shall provide the Board Chair or designee with a written statement providing the contact information of their new employer and a signed statement from Respondent's new employer confirming Respondent provided the employer with a copy of this Consent Agreement. If Respondent does not provide the employer's statement to the Board within 10 days, as required, Respondent's failure to provide the required statement to the Board shall be deemed a violation of A.R.S. § 32-3251(16)(n) and the Board will provide Respondent's employer(s) with a copy of the Consent Agreement.

25 || --

- 17. If, during the period of Respondent's probation, Respondent changes employment, resigns, is involuntarily terminated, resigns in lieu of termination, or goes on extended leave of absence for whatever reason that may impact their ability to timely comply with the terms of probation, Respondent shall, within 10 days of the aforementioned acts, inform the Board of their change of employment status. After the change and within 10 days of accepting employment in a position where Respondent provides any type of behavioral health related services or in a setting where any type of behavioral health, health care, or social services are provided, Respondent shall provide the Board Chair or designee a written statement providing the contact information of their new employer(s) and a signed statement from Respondent's new employer(s) confirming Respondent provided the employer(s) with a copy of this Consent Agreement. If Respondent does not provide the employer's statement to the Board within 10 days, as required, Respondent's failure to provide the required statement to the Board shall be deemed a violation of A.R.S. § 32-3251(16)(n) and the Board will provide Respondent's employer(s) with a copy of the Consent Agreement.
- 18. Respondent shall practice behavioral health using the name under which they are licensed. If Respondent changes their name, they shall advise the Board of the name change as prescribed under the Board's regulations and rules.
- 19. Prior to the release of Respondent from probation, Respondent must submit a written request to the Board for release from the terms of this Consent Agreement at least 30 days prior to the date they would like to have this matter appear before the Board. Respondent may appear before the Board, either in person or telephonically. Respondent must provide evidence that they have successfully satisfied all terms and conditions in this Consent Agreement. The Board has the sole discretion to determine whether all terms and conditions of this Consent Agreement have been met and whether Respondent has adequately demonstrated

1	that they have addressed the issues contained in this Consent Agreement. In the event that the				
2	Board determines that any or all terms and conditions of this Consent Agreement have not beer				
3	met, the Board may conduct such further proceedings as it determines are appropriate to				
4	address those matters.				
5		20.	Respondent shall bear all costs relating	g to probation terms required in this	
6	Consent Agreement.				
7	21. Respondent shall be responsible for ensuring that all documentation required in				
8	this Consent Agreement is provided to the Board in a timely manner.				
9		22.	This Consent Agreement shall be effective	e on the date of entry below.	
10		23.	This Consent Agreement is conclusive ev	vidence of the matters described herein	
11	and may be considered by the Board in determining appropriate sanctions in the event a				
12	subsequent violation occurs.				
13	PROFESSIONAL ACCEPTS, SIGNS AND DATES THIS CONSENT AGREEMENT				
14	Diana Vig	gil		Mar 27, 2023	
15	Diana G. Vigil		 1	Date	
		e. vigi			
16			DARD ACCEPTS, SIGNS AND DATES TH	IS CONSENT AGREEMENT	
16 17		ВС	DARD ACCEPTS, SIGNS AND DATES TH		
	Ву:	BO Ml	DARD ACCEPTS, SIGNS AND DATES TH	Mar 28, 2023	
17		BC ML TOBI	DARD ACCEPTS, SIGNS AND DATES TH		
17 18		TOBI Arizon	DARD ACCEPTS, SIGNS AND DATES THE Constant of Behavioral Health Examiners Mar 28, 2023	Mar 28, 2023	
17 18 19		TOBI Arizon	ZAVALA, Executive Director na Board of Behavioral Health Examiners	Mar 28, 2023	
17 18 19 20	ORIGIN with:	TOBI Arizon	ZAVALA, Executive Director na Board of Behavioral Health Examiners Mar 28, 2023 the foregoing filed d of Behavioral Health Examiners	Mar 28, 2023	
17 18 19 20 21	ORIGIN with:	TOBI Arizon	ZAVALA, Executive Director na Board of Behavioral Health Examiners d of Behavioral Health Examiners d of Behavioral Health Examiners dams Street, Suite 3600	Mar 28, 2023	
17 18 19 20 21 22	ORIGIN with: Arizona 1740 W Phoenix	TOBI Arizon	ZAVALA, Executive Director na Board of Behavioral Health Examiners d of Behavioral Health Examiners d of Behavioral Health Examiners dams Street, Suite 3600	Mar 28, 2023	

25

1	Mona Baskin
2	Assistant Attorney General 2005 North Central Avenue Phoenix, AZ 85004
3	
4	Diana G. Vigil Address of Record Respondent
5	
6	John Ager Sandweg & Ager
7	1221 E. Osborn Road Suite 100 Phoenix, AZ 85014
8	Attorney for Respondent
9	
10	
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	