

1 **BEFORE THE ARIZONA STATE BOARD OF BEHAVIORAL HEALTH EXAMINERS**

2 **In the Matter of:**

3 **Adi Shakti K. Khalsa, LAC-18292,**  
4 **Licensed Associate Counselor**  
5 **In the State of Arizona.**

6 **RESPONDENT**

**CASE NO. 2022-0127**

**RELEASE FROM  
CONSENT AGREEMENT AND ORDER**

7 The Board received a request from Respondent to release them from the terms and  
8 conditions of the Consent Agreement and Order dated December 22, 2022. After consideration,  
9 the Board voted to release Respondent from the terms and conditions of the Consent  
10 Agreement and Order dated December 22, 2022.

11 **ORDER**

12 **GOOD CAUSE APPEARING, IT IS THEREFORE ORDERED THAT:**

13 Respondent is hereby released from all terms and conditions of the Consent Agreement  
14 and Order dated December 22, 2022.

15 By: M. Zavalala Mar 11, 2024  
16 TOBI ZAVALA, Executive Director Date  
17 Arizona Board of Behavioral Health Examiners

18 **ORIGINAL** of the foregoing filed Mar 11, 2024  
19 with:

20 Arizona Board of Behavioral Health Examiners  
21 1740 West Adams Street, Suite 3600  
22 Phoenix, AZ 85007

23 **EXECUTED COPY** of the foregoing sent electronically Mar 11, 2024  
24 to:

25 Adi Shakti K. Khalsa  
Address of Record  
Respondent

1 **BEFORE THE ARIZONA BOARD**  
2 **OF BEHAVIORAL HEALTH EXAMINERS**

3 **In the Matter of:**

4 **Adi Shakti K. Khalsa, LAC-18292,**  
5 **Licensed Associate Counselor, In the**  
6 **State of Arizona.**

7 **RESPONDENT**

**CASE NO. 2022-0127**  
**CONSENT AGREEMENT**

8 In the interest of a prompt and speedy settlement of the above captioned matter,  
9 consistent with the public interest, statutory requirements and responsibilities of the Arizona  
10 State Board of Behavioral Health Examiners (“Board”), and pursuant to A.R.S. §§ 32-3281(F)  
11 and 41-1092.07(F)(5), Adi Shakti K. Khalsa (“Respondent”) and the Board enter into this  
12 Consent Agreement, Findings of Fact, Conclusions of Law and Order (“Consent Agreement”) as  
13 a final disposition of this matter.

14 **RECITALS**

15 Respondent understands and agrees that:

16 1. Any record prepared in this matter, all investigative materials prepared or  
17 received by the Board concerning the allegations, and all related materials and exhibits may be  
18 retained in the Board’s file pertaining to this matter.

19 2. Respondent has the right to a formal administrative hearing at which Respondent  
20 can present evidence and cross examine the State’s witnesses. Respondent hereby irrevocably  
21 waives their right to such formal hearing concerning these allegations and irrevocably waives  
22 their right to any rehearing or judicial review relating to the allegations contained in this Consent  
23 Agreement.

24 3. Respondent has the right to consult with an attorney prior to entering into this  
25 Consent Agreement.

1           4.     Respondent acknowledges and agrees that upon signing this Consent  
2 Agreement and returning it to the Board's Executive Director, Respondent may not revoke their  
3 acceptance of this Consent Agreement or make any modifications to it. Any modification of this  
4 original document is ineffective and void unless mutually approved by the parties in writing.

5           5.     The findings contained in the Findings of Fact portion of this Consent Agreement  
6 are conclusive evidence of the facts stated herein between only Respondent and the Board for  
7 the final disposition of this matter and may be used for purposes of determining sanctions in any  
8 future disciplinary matter.

9           6.     This Consent Agreement is subject to the Board's approval, and will be effective  
10 only when the Board accepts it. In the event the Board in its discretion does not approve this  
11 Consent Agreement, this Consent Agreement is withdrawn and shall be of no evidentiary value,  
12 nor shall it be relied upon or introduced in any disciplinary action by any party hereto, except  
13 that Respondent agrees that should the Board reject this Consent Agreement and this case  
14 proceeds to hearing, Respondent shall assert no claim that the Board was prejudiced by its  
15 review and discussion of this document or of any records relating thereto.

16           7.     Respondent acknowledges and agrees that the acceptance of this Consent  
17 Agreement is solely to settle this Board matter and does not preclude the Board from instituting  
18 other proceedings as may be appropriate now or in the future. Furthermore, and  
19 notwithstanding any language in this Consent Agreement, this Consent Agreement does not  
20 preclude in any way any other state agency or officer or political subdivision of this state from  
21 instituting proceedings, investigating claims, or taking legal action as may be appropriate now or  
22 in the future relating to this matter or other matters concerning Respondent, including but not  
23 limited to violations of Arizona's Consumer Fraud Act. Respondent acknowledges that, other  
24 than with respect to the Board, this Consent Agreement makes no representations, implied or  
25 ...

1 otherwise, about the views or intended actions of any other state agency or officer or political  
2 subdivision of the state relating to this matter or other matters concerning Respondent.

3 8. Respondent understands that once the Board approves and signs this Consent  
4 Agreement, it is a public record that may be publicly disseminated as a formal action of the  
5 Board, and that it shall be reported as required by law to the National Practitioner Data Bank.

6 9. Respondent further understands that any violation of this Consent Agreement  
7 constitutes unprofessional conduct pursuant to A.R.S. § 32-3251(16)(n) and may result in  
8 disciplinary action pursuant to A.R.S. § 32-3281.

9 10. The Board therefore retains jurisdiction over Respondent and may initiate  
10 disciplinary action against Respondent if it determines that they have failed to comply with the  
11 terms of this Consent Agreement or of the practice act.

12 The Board issues the following Findings of Fact, Conclusions of Law and Order:

13 **FINDINGS OF FACT**

14 1. Respondent is the holder of License No. LAC-18292 for the practice of  
15 counseling in Arizona.

16 2. From 07/21 – 07/22, Client received services from Agency and from 07/21 –  
17 01/22, Respondent was the direct provider of services.

18 3. Client's treatment plans indicated goals around addressing an eating disorder,  
19 anxiety and depression.

20 4. A 12/28/21 progress note indicated Client reported the following to Licensee:

21 a. Client was raped by her sister's fiancé.

22 b. The fiancé provided three mini bottles of liquor to Client.

23 c. Client had difficulty walking.

24 d. Client did not notify law enforcement or receive medical attention.





1 completion, Respondent shall submit a certificate of completion of the required continuing  
2 education.

3 5. In addition to the continuing education requirements of A.R.S. § 32-3273, within  
4 12 months of the effective date of this Consent Agreement, Respondent shall complete 6 clock  
5 hours in risk assessment and follow-up. All required continuing education shall be pre-approved  
6 by the Board Chair or designee. Upon completion, Respondent shall submit a certificate of  
7 completion of the required continuing education.

8 6. In addition to the continuing education requirements of A.R.S. § 32-3273, within  
9 12 months of the effective date of this Consent Agreement, Respondent shall complete 6 clock  
10 hours in trauma referrals. All required continuing education shall be pre-approved by the Board  
11 Chair or designee. Upon completion, Respondent shall submit a certificate of completion of the  
12 required continuing education.

13 **Clinical Supervision**

14 7. While on probation, Respondent shall submit to clinical supervision for 12 months  
15 by a masters or higher-level behavioral health professional licensed by the Arizona Board of  
16 Behavioral Health Examiners at the independent level. Within 30 days of the date of this  
17 Consent Agreement, Respondent shall submit the name of a clinical supervisor for pre-approval  
18 by the Board Chair or designee. Also, within 30 days of the date of this Consent Agreement, the  
19 clinical supervisor shall submit a letter disclosing their prior relationship to Respondent. In that  
20 letter, the clinical supervisor must address why they should be approved, acknowledge that they  
21 have reviewed the Consent Agreement and include the results of an initial assessment and a  
22 supervision plan regarding the proposed supervision of Respondent. The letter from the  
23 supervisor shall be submitted to the Board.

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1 **Focus and Frequency of Clinical Supervision**

2 8. The focus of the supervision shall relate to documentation, scope of practice,  
3 assessment, service planning, appropriate referrals, treatment planning, and behavioral health  
4 ethics. Each session will include a caseload review. During each supervision session, the  
5 supervisor shall review Respondent's caseload and documentation and provide feedback to  
6 ensure Respondent is compliant with current behavioral health documentation standards in  
7 Arizona. Respondent shall meet individually in person with the supervisor for a minimum of one  
8 hour twice monthly for the first 12 months, and after the first 12 months at the recommendation  
9 of the clinical supervisor with early release available.

10 **Reports**

11 9. Once approved, the supervisor shall submit quarterly reports for review and  
12 approval by the Board Chair or designee. The quarterly reports shall include issues presented in  
13 this Consent Agreement that need to be reported and the supervisor shall notify the Board if  
14 more frequent supervision is needed. Quarterly reports shall include the following:

- 15 a. Dates of each clinical supervision session.
- 16 b. A comprehensive description of issues discussed during supervision  
17 sessions.

18 10. All quarterly supervision reports shall include a copy of clinical supervision  
19 documentation maintained for that quarter. All clinical supervision documentation maintained by  
20 the supervisor shall comply with requirements set forth in A.A.C. R4-6-212(C).

21 11. After Respondent's probationary period, the supervisor shall submit a final  
22 summary report for review and approval by the Board Chair or designee. The final report shall  
23 also contain a recommendation as to whether the Respondent should be released from this  
24 Consent Agreement.

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1 **Change of Clinical Supervisor During Probation**

2 12. If, during the period of Respondent's probation, the clinical supervisor determines  
3 that they cannot continue as the clinical supervisor, they shall notify the Board within 10 days of  
4 the end of supervision and provide the Board with an interim final report. Respondent shall  
5 advise the Board Chair or designee within 30 days of cessation of clinical supervision by the  
6 approved clinical supervisor and provide the name of a new proposed clinical supervisor. The  
7 proposed clinical supervisor shall provide the same documentation to the Board as was required  
8 of the initial clinical supervisor.

9 **Early Release**

10 13. After completion of the stipulations set forth in this consent agreement, and upon  
11 the supervisor's recommendation, respondent may request early release from the consent  
12 agreement after 12 months.

13 **GENERAL PROVISIONS**

14 **Provision of Clinical Supervision**

15 14. Respondent shall not provide clinical supervision while subject to this Consent  
16 Agreement.

17 **Civil Penalty**

18 15. Subject to the provisions set forth in paragraph 16 the Board imposes a civil  
19 penalty against the Respondent in the amount of \$1,000.00.

20 16. Respondent's payment of the civil penalty shall be stayed so long as Respondent  
21 remains compliant with the terms of this Consent Agreement. If Board staff determines that  
22 Respondent is noncompliant with the terms of this Consent Agreement in any respect, with the  
23 exception of the tolling provision under paragraph 3, the stay of the civil penalty payment shall  
24 be automatically lifted and payment of the civil penalty shall be made by certified check or

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1 money order payable to the Board within 30 days after being notified in writing of the lifting of  
2 the stay.

3 17. Within 10 days of being notified of the lifting of the stay, Respondent may request  
4 that the matter be reviewed by the Board for the limited purpose of determining whether the  
5 automatic lifting of the stay was supported by clear and convincing evidence. If the Board  
6 receives the written request within 10 days or less of the next regularly scheduled Board  
7 meeting, the request will not be heard at that meeting, but will be heard at the next regularly  
8 scheduled Board meeting. The Board's decision on this matter shall not be subject to further  
9 review.

10 18. The Board reserves the right to take further disciplinary action against  
11 Respondent for noncompliance with this Consent Agreement after affording Respondent notice  
12 and an opportunity to be heard. If a complaint is filed against Respondent for failure to comply  
13 with this Consent Agreement, the Board shall have continuing jurisdiction until the matter is final  
14 and the period of probation shall be extended until the matter is final.

15 19. If Respondent currently sees clients in their own private practice, and obtains any  
16 other type of behavioral health position, either as an employee or independent contractor, where  
17 they provide behavioral health services to clients of another individual or agency, they shall  
18 comply with requirements set forth in paragraphs 20 through 22 below.

19 20. Within 10 days of the effective date of this Order, if Respondent is working in a  
20 position where Respondent provides any type of behavioral health related services or works in a  
21 setting where any type of behavioral health, health care, or social services are provided,  
22 Respondent shall provide the Board Chair or designee with a signed statement from  
23 Respondent's employer(s) confirming Respondent provided the employer(s) with a copy of this  
24 Consent Agreement. If Respondent does not provide the employer's statement to the Board

25 ...

1 within 10 days of the effective date, the Board will provide Respondent's employer(s) with a  
2 copy of the Consent Agreement.

3 21. If Respondent is not employed as of the effective date of this Order, within 10  
4 days of accepting employment in a position where Respondent provides any type of behavioral  
5 health related services or in a setting where any type of behavioral health, health care, or social  
6 services are provided, Respondent shall provide the Board Chair or designee with a written  
7 statement providing the contact information of their new employer and a signed statement from  
8 Respondent's new employer confirming Respondent provided the employer with a copy of this  
9 Consent Agreement. If Respondent does not provide the employer's statement to the Board  
10 within 10 days, as required, Respondent's failure to provide the required statement to the Board  
11 shall be deemed a violation of A.R.S. § 32-3251(16)(n) and the Board will provide Respondent's  
12 employer(s) with a copy of the Consent Agreement.

13 22. If, during the period of Respondent's probation, Respondent changes  
14 employment, resigns, is involuntarily terminated, resigns in lieu of termination, or goes on  
15 extended leave of absence for whatever reason that may impact their ability to timely comply  
16 with the terms of probation, Respondent shall, within 10 days of the aforementioned acts, inform  
17 the Board of their change of employment status. After the change and within 10 days of  
18 accepting employment in a position where Respondent provides any type of behavioral health  
19 related services or in a setting where any type of behavioral health, health care, or social  
20 services are provided, Respondent shall provide the Board Chair or designee a written  
21 statement providing the contact information of their new employer(s) and a signed statement  
22 from Respondent's new employer(s) confirming Respondent provided the employer(s) with a  
23 copy of this Consent Agreement. If Respondent does not provide the employer's statement to  
24 the Board within 10 days, as required, Respondent's failure to provide the required statement to

25 ...

1 the Board shall be deemed a violation of A.R.S. § 32-3251(16)(n) and the Board will provide  
2 Respondent's employer(s) with a copy of the Consent Agreement.

3 23. Respondent shall practice behavioral health using the name under which they  
4 are licensed. If Respondent changes their name, they shall advise the Board of the name  
5 change as prescribed under the Board's regulations and rules.

6 24. Prior to the release of Respondent from probation, Respondent must submit a  
7 written request to the Board for release from the terms of this Consent Agreement at least 30  
8 days prior to the date they would like to have this matter appear before the Board. Respondent  
9 may appear before the Board, either in person or telephonically. Respondent must provide  
10 evidence that they have successfully satisfied all terms and conditions in this Consent  
11 Agreement. The Board has the sole discretion to determine whether all terms and conditions of  
12 this Consent Agreement have been met and whether Respondent has adequately demonstrated  
13 that they have addressed the issues contained in this Consent Agreement. In the event that the  
14 Board determines that any or all terms and conditions of this Consent Agreement have not been  
15 met, the Board may conduct such further proceedings as it determines are appropriate to  
16 address those matters.

17 25. Respondent shall bear all costs relating to probation terms required in this  
18 Consent Agreement.

19 26. Respondent shall be responsible for ensuring that all documentation required in  
20 this Consent Agreement is provided to the Board in a timely manner.

21 27. This Consent Agreement shall be effective on the date of entry below.

22 28. This Consent Agreement is conclusive evidence of the matters described herein  
23 and may be considered by the Board in determining appropriate sanctions in the event a  
24 subsequent violation occurs.

25 ...

1                   **PROFESSIONAL ACCEPTS, SIGNS AND DATES THIS CONSENT AGREEMENT**

2     Adi Shakti Khalsa

3     Adi Shakti Khalsa (Dec 21, 2022 19:03 MST)

4     Adi Shakti K. Khalsa

5                   Dec 21, 2022

6                   Date

7                   **BOARD ACCEPTS, SIGNS AND DATES THIS CONSENT AGREEMENT**

8     By:

9     Mpli Zavala

10                  Dec 22, 2022

11                  TOBI ZAVALA, Executive Director

12                  Date

13                  Arizona Board of Behavioral Health Examiners

14     **ORIGINAL** of the foregoing filed     Dec 22, 2022

15     with:

16     Arizona Board of Behavioral Health Examiners

17     1740 West Adams Street, Suite 3600

18     Phoenix, AZ 85007

19     **EXECUTED COPY** of the foregoing sent electronically Dec 22, 2022

20     to:

21     Mona Baskin

22     Assistant Attorney General

23     2005 North Central Avenue

24     Phoenix, AZ 85004

25     Adi Shakti K. Khalsa

26     Address of Record

27     Respondent